

STUDIES

PCCA LOXASPERSE™ BASE STUDIES

Technical Report:

Characterization of the Physical and Microbiological Properties of LoxaSperse™

Abstract: LoxaSperse is a proprietary blend of micronized xylitol and poloxamers, designed to be mixed with active substances in order to improve water solubility, dispersibility, and to prevent microbial growth. The physical and microbiological properties of LoxaSperse were characterized by three laboratory tests performed with LoxaSperse and LoxaSperse with itraconazole.

Introduction:

LoxaSperse is a proprietary blend of micronized xylitol and micronized poloxamers, designed to be mixed with active substances in order to improve their water solubility and dispersibility. The use of xylitol and poloxamers in nebulization and irrigation is thoroughly referenced in the literature and there is ample evidence for their safety and efficacy (Durairaj *et al.*, 2006; Plataki *et al.*, 2011). Xylitol and poloxamers exhibit antimicrobial activity and, therefore, LoxaSperse is also expected to prevent microbial growth (Veyries *et al.*, 2000; Zabner *et al.*, 2000). LoxaSperse mixtures are dry powders, packaged as non-sterile capsules or sachets for dispersion or dissolution in sterile water prior to the administration of compounded medicines for nebulization and irrigation.

Methodology:

The physical and microbiological properties of LoxaSperse were characterized by three types of laboratory tests performed on LoxaSperse and LoxaSperse with itraconazole, a triazole antifungal that is active against a wide spectrum of microorganisms (Martindale 35, 2007).

Physical Properties: To determine the particle size distribution of LoxaSperse and LoxaSperse with itraconazole, two different tests were performed respectively: Static Laser Light Scattering and Optical Microscopy.

Microbiological Properties: To characterize the antimicrobial activity of LoxaSperse and LoxaSperse with itraconazole, two different Minimum Inhibitory Concentration (MIC) methods were performed against fungal and bacterial strains by the Broth Microdilution Method and Agar Dilution Method. All strains were obtained from the American Type Culture Collection (ATCC). To estimate microbiological growth in LoxaSperse, water activity of the powder excipient base was determined using the AquaLab Water Activity Meter (AquaLab, 2008; 2013).

Results and Discussion:

The physical and microbiological properties of LoxaSperse are discussed separately below.

Physical Properties of LoxaSperse

Particle Size Distribution: The particles in a sample are not perfectly mono-disperse (i.e., every single particle with exactly the same dimensions) but, instead, they commonly consist of a statistical distribution with particles of differing dimensions. Several tests may be performed in order to characterize this physical property (Malvern, 2012).

Static Laser Light Scattering: This test provides a volume weighed distribution, in which the contribution of each particle in the distribution relates to the volume of that particle (Malvern, 2012). LoxaSperse 6.4% in sterile water exhibits a narrow distribution of particles (**Figure 1**), which demonstrates

the optimal physical characteristics and performance of the powder excipient base.

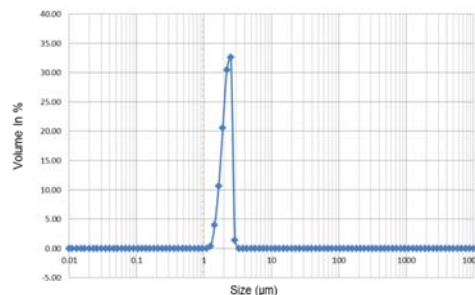


Figure 1. Particle size distribution of LoxaSperse in sterile water.

Optical Microscopy: Microscopic examination is suitable to determine the distribution of particles of inhalable size (European Commission JRC, 2002) and, therefore, optical microscopy was performed to characterize the effect of LoxaSperse in the particle size distribution of itraconazole. An AmScope Microscope Digital Camera was used for photographic characterization of itraconazole (1%) in sterile water, with and without LoxaSperse, at 200x magnification (AmScope, 2013). This test was performed in accordance with the respective 'Physical Test' of the US Pharmacopeia (The United States Pharmacopeial Convention, 2013). It was observed that, following the addition of LoxaSperse, large aggregates of itraconazole were converted into small aggregates and single particles (Figure 2). It is therefore concluded that LoxaSperse optimizes the particle size distribution of itraconazole in sterile water.

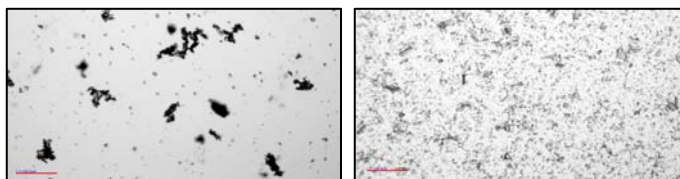


Figure 2. (Left) Itraconazole 1% in sterile water. (Right) Itraconazole 1% and LoxaSperse in sterile water. Both at 200x magnification.

Microbiological Properties of LoxaSperse

Minimum Inhibitory Concentration: MIC is the lowest concentration of an antimicrobial that will inhibit visible growth of a microorganism after overnight incubation. MIC is the gold standard research tool to determine *in vitro* activity of antimicrobials (Andrews, 2001). A lower MIC is indicative of a better antimicrobial agent.

Broth Microdilution Method: The *in vitro* antifungal activity of itraconazole and LoxaSperse with itraconazole (9:1) was determined against four fungal strains using the National Committee for Clinical Laboratory Standards (NCCLS) reference methods for yeast and filamentous fungi (Espinel-Ingroff, 2002; NCCLS, 2002a; 2002b). A lower MIC was found

in LoxaSperse with itraconazole than in itraconazole itself (Table 1). It is concluded that the LoxaSperse mixture has improved antifungal activity against all fungal strains tested.

Table 1. MIC ($\mu\text{g/mL}$) of itraconazole and itraconazole with LoxaSperse (9:1) against four fungal strains (filamentous and yeast).

Fungal strains	<i>A.fumigatus</i> ATCC 204305	<i>A.niger</i> ATCC 16404	<i>C.albicans</i> ATCC 90028	<i>R.oryzae</i> ATCC 9363
Itraconazole	0.5	0.5	≤ 0.125	0.25
Itraconazole +LoxaSperse	0.2	0.2	0.025	0.20

Agar Dilution Method: The *in vitro* antimicrobial activity of LoxaSperse was determined against eight microbial strains. An MIC of 17% LoxaSperse was achieved for the majority of the microbial strains tested (Table 2). No antimicrobials were added to this test.

Table 2. MIC (%) of LoxaSperse against eight microbial strains.

Microbial strains	Concentration of LoxaSperse			
	15%	16%	17%	18%
<i>E.coli</i> ATCC 8739	Growth	Growth	No growth	No growth
<i>E.coli</i> ATCC 8739	Growth	Growth	No growth	No growth
<i>S.aureus</i> ATCC 6538	Growth	Growth	No growth	No growth
<i>P.aeruginosa</i> ATCC 9027	Growth	Growth	No growth	No growth
<i>C.albicans</i> ATCC 10231	Growth	Growth	No growth	No growth
<i>A.niger</i> ATCC 16404	Growth	Growth	No growth	No growth
<i>S.typhimurium</i> ATCC 14028	Growth	No growth	No growth	No growth
<i>S.aureus</i> MRSA ATCC 33591	Growth	No growth	No growth	No growth

Water Activity (a_w): is defined as the amount of available, or free, water in a system and is a measure of how efficiently water can take part in a chemical reaction. Reducing the a_w minimizes undesirable chemical reactions and microbiological growth. Most bacteria do not grow at $a_w < 0.91$ and no microbiological growth is possible at $a_w < 0.60$. The a_w is a better index of microbial growth than total water content (Blandamer *et al.*, 2005; AquaLab, 2008; 2013). The a_w of LoxaSperse was measured after 90 days storage at three different temperatures. An average a_w of 0.321 (with desiccant) and a_w of 0.456 (without desiccant) was measured (Table 3).

Table 3. Water activity of LoxaSperse, with and without desiccant, after 90 days of storage at three different temperatures.

Temperature	Water Activity (a_w) (with desiccant)	Water Activity (a_w) (without desiccant)
T=4°C ($\pm 1^\circ\text{C}$)	0.297	0.409
T=25°C ($\pm 1^\circ\text{C}$)	0.321	0.471
T=45°C ($\pm 1^\circ\text{C}$)	0.344	0.489

It is concluded that no microbiological growth is possible in LoxaSperse, after 90 days storage at $T < 45^\circ\text{C}$, due to its low a_w (< 0.60).

Conclusions:

LoxaSperse with itraconazole has improved particle size distribution in sterile water and also improved antifungal activity compared to itraconazole alone. Considering the MIC and a_w of LoxaSperse, it is also concluded that LoxaSperse prevents microbial growth as expected.

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Technical Report:

The Antimicrobial Activity of Itraconazole and LoxaSpersTM Against Biofilms of *C. albicans*



Abstract: Itraconazole is a broad-spectrum, triazole antifungal agent, class II drug molecule (low solubility–high permeability) according to the Biopharmaceutical Classification System (BCS). LoxaSpers is an excipient manufactured by PCCA and can be used as a chemical dispersing or solubilizing agent in irrigation or nebulization formulations, improving the solubility and dispersibility of poorly water soluble Active Pharmaceutical Ingredients (APIs). The *in vitro* antimicrobial activity of itraconazole in a LoxaSpers formulation was evaluated against *Candida albicans* biofilms and compared to the same activity of reference antifungal drugs (itraconazole, fluconazole and amphotericin B), in order to verify the benefits of the LoxaSpers formulation. The LoxaSpers formulation reduced Minimum Biofilm Inhibitory Concentration (MBIC) 10-fold compared to the value of itraconazole alone. Improvement in antimicrobial activity of the LoxaSpers/itraconazole formulation could be attributed to the improved dissolution rate and solubility enhancement caused by the base over the poorly water-soluble itraconazole.

Purpose:

To evaluate the *in vitro* antimicrobial activity of itraconazole in a LoxaSpers formulation, Loxasperse alone, and Itraconazole EP Micronized, fluconazole and amphotericin B (reference antifungal drugs) against *Candida albicans* biofilms.

Introduction:

Local delivery of medication to the sinuses and lungs is highly desirable, especially in patients with specific sinus and pulmonary diseases such as cystic fibrosis, asthma, chronic sinus and pulmonary infections, and lung cancer. The principal advantages include reduced systemic side effects and higher doses of the applicable medication at the site of drug action (Harvey and Schlosser, 2009; Pilcer and Amighi, 2010).

Many existing APIs and an increasing number of new drugs are often poorly water-soluble drugs (Zhang *et al.*, 2011). Drug insolubility, regardless of the administration route, commonly generates bioavailability or efficacy problems. Different techniques exist to increase drug dissolution and/or solubility, which often require the use of specific excipients. In the ear, nose and throat (ENT) injuries and illness field, excipients should be chemically and physically stable, inert to the API and exhibit no side effects (Duret *et al.*, 2012).

LoxaSpers is a proprietary excipient manufactured by PCCA for use as a chemical dispersing or solubilizing agent in oral, sinus, inhalation, rectal and topical formulations. It consists of a blend of micronized xylitol and micronized poloxamers, designed to be mixed with APIs in order to improve their water solubility and dispersability (PCCA, 2013). Xylitol is a 5-carbon sugar with low transepithelial permeability which is poorly metabolized by bacteria (Durairaj *et al.*, 2007). Poloxamers are a series of synthetic block copolymers of poly(ethylene oxide)-*b*-propylene oxide-*b*-ethylene oxide) (PEO–PPO–PEO) with varying molecular weights and block ratios. They are non-ionic amphiphilic surfactants possessing excellent wetting, antifoaming and solubilizing properties (Moebus *et al.*, 2009). The use of xylitol and poloxamers in nebulization and irrigation is thoroughly referenced in the literature and there is evidence of their safety. (Durairaj *et al.*, 2007; Jagannath *et al.*, 1995; Plataki *et al.*, 2011; Zabner *et al.*, 2000). LoxaSpers is a base that allows for the preparation of non-sterile capsules and powder sachets that are added to sterile water or normal saline by the patient at the moment of administration (PCCA, 2013).

Candida infections have increased dramatically over the past years, being reported as the fourth most common nosocomial bloodstream pathogen. Candidemia represents 10% of all nosocomial blood-stream infections (Burgess *et al.*, 2000). The traditional treatment uses amphotericin B, but it has changed to relatively less toxic alternatives, such as the triazole antifungals itraconazole and fluconazole (Wroblewska *et al.*, 2002).

Itraconazole has a broader spectrum of activity than other azole antifungals (De Beule, 1996). However, poor oral bioavailability, variable absorption and gastrointestinal toxicity due to the hydroxypropyl- β -cyclodextrin component of the oral solution limit itraconazole to a second or third line treatment option for invasive fungal infections (Vaughn *et al.*, 2007). Itraconazole is a typical Biopharmaceutical Classification System (BCS) Class II drug with low solubility–high permeability (Amidon *et al.*, 1995). An inhaled itraconazole delivery system has shown an interesting potential for treating pulmonary invasive fungal infections with improvement of its efficacy (Duret *et al.*, 2012).

Methodology:

Materials: Itraconazole EP Micronized (lot number C149307) and PCCA Formula #10342 (4 g of Itraconazole EP Micronized + 37.574 g of LoxaSpers) were provided by PCCA (Houston, TX, USA) as powders. Itraconazole and PCCA Formula #10342 were prepared on the day of the assay. Fluconazole and amphotericin B (Sigma Aldrich[®]) were obtained as powders and stored at 4°C. Stock solutions (10.24 mg/mL) of these two reference actives were prepared in sterile water.

Strain: *Candida albicans* isolate ATCC 90028 was obtained from American Type Culture Collection (Manassas, VA) and used in the course of this study.

Methods: A Minimum Biofilm Inhibitory Concentration (MBIC) of itraconazole in a LoxaSpers formulation, LoxaSpers excipient, itraconazole, fluconazole and amphotericin B was measured for the *C. albicans* biofilm according to the NCCLS M27-A broth microdilution method (NCCLS, 1997). The testing medium used for growing was RPMI 1640 (American Biorganics, Inc., Niagara Falls, NY) supplemented with L-glutamine (Sigma Aldrich[®]). Yeast inocula (100 μ L of 1×10^6 cells/mL) were added to each well of 96-well microtiter plates (Corning) and incubated at 37°C for 48h. After biofilm formation, medium was aspirated and non-adherent cells were

removed by thoroughly washing the biofilms three times in sterile phosphate-buffered saline (PBS, Sigma Aldrich[®]). The antifungal drug and LoxaSpers solutions (samples) were then added to the biofilms in serially diluted concentrations (1,024 to 0.5 µg/ml, from stock [concentrated] solutions of each sample prepared in RPMI medium directly) and incubated for a further 48h at 35°C. A series of sample-free wells and biofilm-free wells were also included to serve as positive and negative controls, respectively. The MBIC was defined as the lowest concentration of sample that produced a 50% reduction of fungal growth compared with the growth control. Cell viability was determined by using CellTiter 96[®] Non-Radioactive Cell Proliferation Assay (Promega, 2013).

Results and Discussion:

All biofilms formed on the microtiter plates over 48h displayed consistent CellTiter 96[®] dye solution readings when the intensity of the colorimetric product was measured in a microtiter plate reader at 570 nm. The MBIC value of itraconazole in a LoxaSpers formulation (expressed as concentration of itraconazole) showed efficient result in comparison with the MBIC values for raw itraconazole, fluconazole and amphotericin B tested against *C. albicans* ATCC 90028, as reported in Table 1. The LoxaSpers formulation improved the antimicrobial potential of itraconazole approximately 10-fold. Biofilm from *C. albicans* strain tested was intrinsically resistant to fluconazole (MBIC > 1024 µg/mL). The polyene antifungal amphotericin B was highly active (MBIC = 0.5 µg/mL) against *C. albicans* ATCC 90028. The findings for fluconazole and amphotericin B are in accordance with the literature (Ramage *et al.*, 2001).

Table 1. Minimum Biofilm Inhibitory Concentrations against *C. albicans* ATCC 90028.

Sample	Minimum Biofilm Inhibitory Concentration (MBIC) (µg/mL)
Amphotericin B	0.5
Fluconazole	>1,024
Itraconazole	1024
LoxaSpers	>10,240
Itraconazole/LoxaSpers	98.5

Conclusions:

Itraconazole has an increased *in vitro* antimicrobial activity against *Candida* biofilms when associated with the LoxaSpers excipient. It may be due to the benefits caused by the base in terms of the dissolution rate and saturation solubility of the poorly water-soluble itraconazole, providing a higher *in vitro* dissolved drug concentration that induced an enhanced inhibition of microbial growth.

Financial Disclosure:

For this study, PCCA contracted a third party laboratory with no proprietary or financial interests in the test products, or equity interest in PCCA.

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Technical Report:

Antimicrobial Effectiveness Testing of a Budesonide LoxaSperse™ Dispersion



Abstract: LoxaSperse is a powder excipient base used for nebulization and irrigation designed to improve dispersability and solubility of Active Pharmaceutical Ingredients (APIs). PCCA tested the performance of PCCA Formula #10341 (budesonide 0.5 mg in a LoxaSperse mixture) and measured its efficacy against microbial activity when mixed with sterile water. The intent is not to determine efficacy of budesonide as an antimicrobial. The Antimicrobial Effectiveness Test (AET) was conducted at 0.5h, 6h, 28h and 168h – serially diluted, and plated for colony counts. Budesonide LoxaSperse dispersions required 0.5h to 28h to significantly reduce the number of viable bacterial cells (*E. coli*, *S. aureus* and *P. aeruginosa*). The results of this study demonstrate that accidental or intentional contamination of the finished or reconstituted preparation did not result in microbial growth.

Purpose:

The intent of this study was to evaluate results of purposeful inoculation of the formulation with microorganisms specified in USP <51> (The United States Pharmacopeial Convention, 2013a), for nasal and inhalation use with modified Antimicrobial Effectiveness Test (AET) methodology and to determine the *in vitro* efficacy of formulas containing LoxaSperse to reduce microbial counts or inhibit viable cell growth.

Introduction:

LoxaSperse is a powder excipient base used for nebulization and irrigation. LoxaSperse is a blend of specially micronized xylitol with an optimized ratio of micronized poloxamers, designed to improve the dispersability and solubility of APIs (PCCA, 2013). The use of xylitol and poloxamers in nebulization and irrigation is thoroughly referenced in the literature and there is ample evidence of their safety and efficacy (Durairaj *et al.*, 2006; Jagannath *et al.*, 1995; Platakis *et al.*, 2011; Zabner *et al.*, 2000). Budesonide is a corticoid with mainly glucocorticoid activity (*Martindale* 35, 2007). PCCA tested the performance of Formula #10341, budesonide 0.5 mg in a LoxaSperse mixture, and measured its efficacy against microbial activity when mixed with sterile water.

Methodology:

The efficacy of budesonide LoxaSperse dilutions were evaluated by serially diluting the formula in sterile water and plating for colony counts with *S. aureus*, *P. aeruginosa*, *E. coli*, *C. albicans* and *A. niger* at intervals of 0.5h, 6h, 28h and 168h.

Materials and Methods:

A Budesonide Micronized USP (lot number C158080) capsule was prepared by PCCA (Houston, TX, USA) following the instructions on PCCA Formula #10341 (budesonide 0.5 mg in a LoxaSperse mixture). The final solutions were subsequently prepared by an outside laboratory at time of testing by adding one budesonide capsule (PCCA Formula #10341) to 10 mL of sterile water.

Bacterial Strains:

The strains were from the American Type Culture Collection (ATCC, Manassas, VA). All strains were maintained as glycerol

stock solutions at -80°C. Working stocks were grown on tryptic soy or Sabouraud agar media at 35°C.

Antimicrobial Effectiveness Test (AET):

Growth, harvesting, and enumeration of *S. aureus*, *P. aeruginosa*, *E. coli*, *C. albicans* and *A. niger* were performed according to universal AET procedures (Moser and Meyer, 2011). 1 mL aliquots of the test articles were prepared in 15 mL polycarbonate test tubes. 10 µL of cell culture (diluted in phosphate buffered saline, (PBS, Sigma Aldrich®) was added to each 1 mL aliquot to initiate the AET assay. 10 µL of cell culture was also added to 1 mL PBS for initial colony counts at the start of the AET assay. During the AET assay, 100 µL of the mixture was removed at intervals of 0.5h, 6h, 28h, and 7d (168h), serially diluted, and plated for colony counts. Final colony counts, reported in CFU/mL and Log₁₀ reductions in viable cell numbers, are discussed in this report.

Results and Discussion:

Initial colony counts of *E. coli*, *P. aeruginosa*, *S. aureus* and *C. albicans* indicated that a 10² to 10⁴ CFU/mL product challenge was performed for these organisms (**Table 1**). *A. niger* colonies were not obtained from these initial plates (≤10 CFU/mL, **Table 1**), but counts from subsequent plates indicated that 10¹ to 10² spores were present at the start of the AET (**Table 2**).

Over the course of the AET, viable cell/spore counts varied depending upon the test article, where it was prepared, and the test organism.

E. coli: a 1-Log reduction after 6h and no viable cells after 28h.

S. aureus: little change in cell viability was observed over 168h, when no viable cells were recovered.

C. albicans: viable cells were recovered and continued to increase in number over the course of the AET.

A. niger: little change in the number of viable cells was observed.

P. aeruginosa: a 2-Log reduction after 0.5h. No viable cells were recovered after 6h.

Technical Report:

Antimicrobial Effectiveness Testing of Budesonide LoxaSpers[™] Dispersion

Table 1. Initial colony counts from adjusted cultures.

Organism	CFU/mL
Control	≤10*
<i>E. coli</i>	9.7 x 10 ³
<i>A. niger</i>	≤10*
<i>C. albicans</i>	3.2 x 10 ²
<i>P. aeruginosa</i>	5.9 x 10 ³
<i>S. aureus</i>	1.0 x 10 ⁴

Table 2. Recovered cell counts from AET (CFU/mL).

Organism	CFU/mL at time (h):			
	0.5	6	28	168
Control	≤10*	≤10*	≤10*	≤10*
<i>E. coli</i>	2.6 x 10 ³	4.6 x 10 ²	≤10*	≤10*
<i>A. niger</i>	1.0 x 10 ¹	1.0 x 10 ¹	1.0 x 10 ¹	≤10*
<i>C. albicans</i>	2.5 x 10 ²	6.7 x 10 ²	≤10*	3.0 x 10 ³
<i>P. aeruginosa</i>	4.0 x 10 ¹	≤10*	≤10*	≤10*
<i>S. aureus</i>	7.98 x 10 ³	6.36 x 10 ³	8.50 x 10 ³	≤10*

*≤10 denotes below detection limits USP <1227> (The United States Pharmacopeial Convention, 2013b).

Conclusions:

The test article containing budesonide and LoxaSpers required 0.5h to 28h to significantly reduce the number of viable bacteria (*E. coli*, *S. Aureus* and *P. aeruginosa*). *A. niger* showed a decrease in the number of viable cells up to 168h. The chosen formula when intentionally contaminated with microorganisms specified in USP 51 resisted microbial growth. Further, this study demonstrated this formulation after reconstituted was not at risk or did not support microbial growth.

Financial Disclosure:

For this study, PCCA contracted a third party laboratory with no proprietary or financial interests in the test products, or equity interest in PCCA.

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Technical Report:

Antimicrobial Effectiveness Testing of a Gentamicin LoxaSpense™ Dispersion



Abstract: LoxaSpense is a powder excipient base used for nebulization and irrigation designed to improve dispersibility and solubility of Active Pharmaceutical Ingredients (APIs). PCCA tested the performance of PCCA Formula #10337 (gentamicin 80 mg in a LoxaSpense mixture), and measured its efficacy against microbial activity when mixed with sterile water. The intent is not to determine efficacy of gentamicin as an antimicrobial. The Antimicrobial Effectiveness Test (AET) was conducted at 0.5h, 6h, 28h and 168h – serially diluted, and plated for colony counts. Gentamicin LoxaSpense dispersions reduced the number of viable bacteria (*E. coli*, *S. aureus* and *P. aeruginosa*) within 0.5h of exposure and no bacterial growth was observed in the test article up to 128h after exposure. A 3-Log to 4-Log reduction in viable bacterial cells was observed within 0.5h. The results of this study demonstrate that accidental or intentional contamination of the finished or reconstituted preparation did not result in microbial growth.

Purpose:

The intent of this study was to evaluate results of purposeful inoculation of the formulation with microorganisms specified in USP <51> (The United States Pharmacopeial Convention, 2013a) for nasal and inhalation use with modified Antimicrobial Effectiveness Test (AET) methodology and to determine the *in vitro* efficacy of formulas containing LoxaSpense to reduce microbial counts or inhibit viable cell growth.

Introduction:

LoxaSpense is a powder excipient base used for nebulization and irrigation. LoxaSpense is a blend of specially micronized xylitol with an optimized ratio of micronized poloxamers, designed to improve the dispersability and solubility of APIs (PCCA, 2013). The use of xylitol and poloxamers in nebulization and irrigation is thoroughly referenced in the literature and there is ample evidence of their safety and efficacy (Durairaj *et al.*, 2006; Jagannath *et al.*, 1995; Platakis *et al.*, 2011; Zabner *et al.*, 2000). Gentamicin is an aminoglycoside antibiotic and has bactericidal action against many gram-negative aerobes and against strains of staphylococci (*Martindale* 35, 2007). PCCA tested the performance of Formula #10337 which is gentamicin (80 mg) in a LoxaSpense mixture and measured efficacy against microbial activity when mixed with sterile water.

Methodology:

The efficacy of gentamicin LoxaSpense dilutions were evaluated by serially diluting the formula in sterile water and plating for colony counts with *S. aureus*, *P. aeruginosa*, *E. coli*, *C. albicans* and *A. niger* in the intervals of 0.5h, 6h, 28h and 168h.

Materials and Methods:

A Gentamicin Sulfate USP (lot number C150822) capsule was prepared by PCCA (Houston, TX, USA) following the instructions on PCCA Formula #10337 (gentamicin 80 mg/LoxaSpense). The final solutions were prepared by an outside lab at time of testing by adding one capsule of Gentamicin Sulfate USP (PCCA Formula #10337) to 10 mL of sterile water.

Bacterial Strains:

The strains were from the American Type Culture Collection

(ATCC, Manassas, VA). All strains were maintained as glycerol stock solutions at -80°C. Working stocks were grown on tryptic soy or Sabouraud agar media at 35°C.

Antimicrobial Effectiveness Test (AET):

Growth, harvesting, and enumeration of *S. aureus*, *P. aeruginosa*, *E. coli*, *C. albicans* and *A. niger* were performed according to universal AET procedures (Moser and Meyer, 2011). 1 mL aliquots of the test articles were prepared in 15 mL polycarbonate test tubes. 10 µL of cell culture diluted in Phosphate Buffered Saline (PBS, Sigma-Aldrich®) was added to each 1 mL aliquot to initiate the AET assay. 10 µL of cell culture was also added to 1 mL PBS for initial colony counts at the start of the AET assay.

During the AET assay, 100 µL of the mixture was removed at intervals of 0.5h, 6h, 28h, and 168h, serially diluted, and plated for colony counts. Final colony counts, reported in CFU/mL and Log₁₀ reductions in viable cell numbers are discussed in this report.

Results and Discussion:

Initial colony counts of *E. coli*, *P. aeruginosa*, *S. aureus*, and *C. albicans* indicated that a 10² to 10⁴ CFU/mL product challenge was performed for these organisms (**Table 1**). *A. niger* colonies were not obtained from these initial plates (≤10 CFU/mL, **Table 1**), but counts from subsequent plates indicated that 10¹ to 10² spores were present at the start of the AET (**Table 2**).

Over the course of the AET, viable cell/spore counts varied depending upon the test article, where it was prepared, and the test organism.

No viable cells of *E. coli*, *S. aureus* or *P. aeruginosa* were recovered after 0.5h exposure.

C. albicans: a 1-Log reduction observed after 0.5h and no viable cells were observed after 24h.

A. niger: colony forming spores were recovered up to 128h in solutions.

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Antimicrobial Effectiveness Testing of a Gentamicin LoxaSperse™ Dispersion



Table 1. Initial colony counts from adjusted cultures.

Organism	CFU/mL
Control	≤10*
<i>E. coli</i>	9.7 x 10 ³
<i>A. niger</i>	≤10*
<i>C. albicans</i>	3.2 x 10 ²
<i>P. aeruginosa</i>	5.9 x 10 ³
<i>S. aureus</i>	1.0 x 10 ⁴

Table 2. Recovered cell counts from AET (CFU/mL).

Organism	CFU/mL at time (h):			
	0.5	6	28	168
Control	≤10*	≤10*	≤10*	≤10*
<i>E. coli</i>	≤10*	≤10*	≤10*	≤10*
<i>A. niger</i>	5.0 x 10 ¹	4.0 x 10 ¹	2.0 x 10 ¹	1.0 x 10 ¹
<i>C. albicans</i>	2 x 10 ¹	2 x 10 ¹	≤10*	≤10*
<i>P. aeruginosa</i>	≤10*	≤10*	≤10*	≤10*
<i>S. aureus</i>	≤10*	≤10*	≤10*	≤10*

*≤10 denotes below detection limits USP <1227> (The United States Pharmacopeial Convention, 2013b).

Conclusions:

The Test Article containing Gentamicin Sulfate USP and LoxaSperse reduced the number of viable bacteria (*E. coli*, *S. aureus* and *P. aeruginosa*) within 0.5h of exposure and no bacterial growth was observed up to 168 h after exposure. A 3-Log to 4-Log reduction in viable bacteria was observed within 0.5h (Tables 1-2). A 1-Log reduction in the number of viable *C. albicans* cells was observed within 6h and no *C. albicans* cells were recovered after 24h (a 2-Log reduction). The gentamicin and LoxaSperse formulation continued to reduce the number of viable *A. niger* spores throughout testing. Additionally, the low number of spores introduced at the initiation of the AET and the

subsequent low limit of detection prevented the observation of a significant 1-Log reduction of viable spores. The chosen formula when intentionally contaminated with microorganisms specified in USP 51 resisted microbial growth. Further, this study demonstrated this formulation after reconstituted was not at risk or did not support microbial growth.

Financial Disclosure: For this study, PCCA contracted a third party laboratory with no proprietary or financial interests in the test products, or equity interest in PCCA.

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Technical Report:

Antimicrobial Effectiveness Testing of Antihistamine and Corticosteroid in LoxaSperse™ Dispersion



Abstract: LoxaSperse™ is a powder excipient base used for nebulization and irrigation designed to improve dispersibility and solubility of Active Pharmaceutical Ingredients (APIs). PCCA tested the performance of LoxaSperse formulations containing fluticasone propionate alone and in combination with levocetirizine dihydrochloride, and measured its efficacy against microbial activity when mixed with sterile water. The intent was not to determine clinical efficacy of the API(s) used as antimicrobials but to determine the ability of the dry powder preparation to resist microbial growth. The Antimicrobial Effectiveness Test (AET) was performed at 0.5h, 6h, 28h and 168h – serially diluted, and plated for colony counts. LoxaSperse formulations required 0.5h to significantly reduce and completely eliminate viable *S. aureus* and *P. aeruginosa*. *The same effect against viable E. coli and C. albicans* required 168h. LoxaSperse formulations prevented *A. niger* proliferation over 7 days of testing. The results of this study demonstrate that accidental or intentional contamination of the finished or reconstituted preparation did not result in microbial growth.

Purpose:

The intent of this study was to evaluate results of purposeful inoculation of the formulations with microorganisms specified in USP <51> (The United States Pharmacopeial Convention, 2013a), for nasal and inhalation use with modified Antimicrobial Effectiveness Test (AET) methodology and to quantitatively determine the *in vitro* effectiveness of formulations containing LoxaSperse to prevent microbial proliferation and/or kill the organisms.

Introduction:

LoxaSperse is a powder excipient base used for nebulization and irrigation. LoxaSperse is a blend of specially micronized xylitol with an optimized ratio of micronized poloxamers, designed to improve the dispersibility and solubility of active pharmaceutical ingredients (APIs) (PCCA, 2013). The use of xylitol and poloxamers in nebulization and irrigation is thoroughly referenced in the literature and there is ample evidence of their safety and efficacy (Durairaj *et al.*, 2006; Jagannath *et al.*, 1995; Plataki *et al.*, 2011; Zabner *et al.*, 2000).

Fluticasone propionate is one of the most prescribed inhaled corticosteroids in the United States, being the preferred therapy for persistent asthma by acting directly on the pulmonary airways through topical anti-inflammatory effects (Colice *et al.*, 2013). Levocetirizine dihydrochloride is a second-generation antihistamine for the relief of symptoms associated with allergic rhinitis and uncomplicated skin manifestations of chronic idiopathic urticaria. It is known that current treatment options for allergic rhinitis include antihistamines and corticosteroids (Singh-Franco *et al.*, 2009).

In order to verify the effectiveness of LoxaSperse formulations against microbial activity, capsules containing LoxaSperse with fluticasone propionate alone and in combination with levocetirizine dihydrochloride were mixed with sterile water. The final suspensions designed for nasal administration and local effect were assayed by AET methodology for 7 days.

Methodology:

Materials: Fluticasone Propionate USP Micronized (lot number C145638), and Levocetirizine Dihydrochloride (lot number

C150499) were obtained from PCCA (Houston, TX, USA) as well as the excipient LoxaSperse (lot number 5994620). Capsules size #1 were filled with the following formulations and stored at 4°C: formulation 1, fluticasone propionate (180 mg) in LoxaSperse (448 mg); formulation 2, fluticasone propionate (180 mg) and levocetirizine dihydrochloride (265 mg) in LoxaSperse (448 mg). The test solutions were prepared by EPS by adding the contents of 1 capsule of each formulation to 10 mL of sterile water.

Microorganisms Strains: *E. coli* ATCC 8739, *A. niger* ATCC 16404, *C. albicans* ATCC 13231, *P. aeruginosa* ATCC 9027 and *S. aureus* ATCC 6538 were obtained from the American Type Culture Collection (ATCC, Manassas, VA). All strains were maintained as glycerol stock solutions at -80°C. Working stocks were grown on tryptic soy (bacteria growth) or Sabouraud dextrose (fungi growth) agar media at 35°C.

Antimicrobial Effectiveness Test (AET):

Growth, harvesting, and enumeration of *S. aureus*, *P. aeruginosa*, *E. coli*, *C. albicans* and *A. niger* were performed according to universal AET methodology (Moser and Meyer, 2011) with minor modifications. 1 mL aliquots of the test solutions (formulations) were prepared in 15 mL polycarbonate test tubes. 10 µL of cell culture (from 10⁴ to 10⁵ CFU/mL stock, diluted in phosphate buffered saline, PBS, Sigma Aldrich®) was added to each 1 mL aliquot to initiate the AET assay. 10 µL of cell culture was also added to 1 mL PBS for initial colony counts at the start of the AET assay. During the AET assay carried out at 20-25°C (room temperature), 100 µL of each challenged contaminated test solution was removed at intervals of 0.5h, 6h, 24h, and 7d (168h), serially diluted, and plated for colony counts on specific growth media. The results are presented as final colony counts, reported in CFU/mL and Log₁₀ reductions in viable cell numbers at defined time intervals, being compared to the time zero performed on the PBS control inoculum levels.

Results and Discussion:

Initial colony counts of *E. coli*, *P. aeruginosa*, *S. aureus*, *C. albicans* and *A. niger* indicated that a 10² to 10³ CFU/mL product challenge was performed for these organisms (Table 1).

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Antimicrobial Effectiveness Testing of Antihistamine and Corticosteroid in LoxaSpersTM Dispersion

Over the course of the AET, viable cell/spore counts changed according to the test solution (formulation) and organism tested. Formulation 1 eliminated the viable cells of *S. aureus* and *P. aeruginosa* in 0.5h and kept the solution free of bacteria for 7 days. *E. coli* was progressively (1-Log reduction/time interval from 0.5h) eliminated in 7 days, while *C. albicans* had the cell counts reduced only after 24h of incubation, being killed at 7 days. Formulation 2 induced the death of *S. aureus* in 0.5h, maintaining the solution free of bacteria for 7 days. A 2-Log reduction was achieved for *P. aeruginosa* in 0.5h exposure, with the solution completely cleared of bacteria by 6h and lasting through 7 days. This formulation showed a similar profile as formulation 1 against *E. coli* and *C. albicans*. The cell counts of *A. niger* did not change significantly over time for both formulations.

Table 1. Initial colony counts from adjusted cultures.

Organism	CFU/mL
Control	≤10*
<i>E. coli</i>	2.04 x 10 ³
<i>A. niger</i>	2.4 x 10 ²
<i>C. albicans</i>	1.5 x 10 ²
<i>P. aeruginosa</i>	1.07 x 10 ³
<i>S. aureus</i>	4.7 x 10 ²

Table 2. Recovered colony counts from AET (CFU/mL).

Organism	F	CFU/mL at time (h):				
		0.5	6	24	168	
Control		≤10*	≤10*	≤10*	≤10*	
<i>E. coli</i>	1	2.6 x 10 ³	7.9 x 10 ²	50	≤10*	
	2	2.3 x 10 ³	5.9 x 10 ²	60	≤10*	
<i>A. niger</i>	1	6.0 x 10 ²	5.4 x 10 ²	50	2.4 x 10 ²	
	2	2.6 x 10 ²	3.2 x 10 ²	7.8 x 10 ²	1.7 x 10 ²	
<i>C. albicans</i>	1	1.5 x 10 ²	4.4 x 10 ²	2.7 x 10 ²	≤10*	
	2	2.1 x 10 ²	1.5 x 10 ²	1.3 x 10 ²	≤10*	
<i>P. aeruginosa</i>	1	≤10*	≤10*	≤10*	≤10*	
	2	10	≤10*	≤10*	≤10*	
<i>S. aureus</i>	1	≤10*	≤10*	≤10*	≤10*	
	2	≤10*	≤10*	≤10*	≤10*	

*≤10 denotes below detection limits USP <1227> (The United States Pharmacopeia Convention, 2013b); F = formulation

Conclusions:

Both formulations containing LoxaSpers required 0.5h to significantly reduce and completely eliminate viable *S. aureus*

and *P. aeruginosa* and no bacterial growth was observed in the solutions up to 7 days. This behavior characterizes a 2-Log to 3-Log reduction in viable bacterial cells. *E. coli* counts were reduced over time and completely killed in 7 days while *C. albicans* was killed at 7 days. *A. niger* remained viable throughout the test. The chosen formulas when intentionally contaminated with microorganisms specified in USP <51> resisted microbial growth. Further, this study demonstrated these formulations after reconstitution were not at risk or did not support microbial growth.

Financial Disclosure:

PCCA contracted Emeryville Pharmaceutical Services (EPS, Emeryville, CA) to conduct this study. EPS has no proprietary or financial interests in the test products, or equity interest in PCCA, the sponsor of the study.

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